UNIFORM COMPLAINT PROCEDURES

The Board of Education and Superintendent recognize that the Humboldt County Office of Education (HCOE) has primary responsibility for insuring that it complies with state and federal laws and regulations governing educational programs. The Office of Education shall investigate and seek to resolve complaints at the local level in accordance with applicable laws and administrative regulations related to this policy.

The Superintendent/designee shall follow uniform complaint procedures when addressing complaints including:

- 1. Complaints alleging unlawful discrimination, harassment, intimidation or bullying in Office of Education programs or activities against any student, employee or other persons participating in programs and activities based the person's actual or perceived characteristic of age, sex, sexual orientation, gender, gender identity, gender expression or genetic information, ethnic group identification, race or ethnicity, ancestry, nationality, national origin, immigration status, religion, color, mental or physical disability, marital or parental status, pregnancy or any other characteristic identified in Education Code 200 or 220, Government Code 11135, or Penal Code 422.55, the perception of one or more such characteristics, or on the basis of a person's association with a person or group with one or more of these actual or perceived characteristics, in any program or activity that receives or benefits from state financial assistance;
- 2. Complaints alleging the Office of Education's failure to comply with the prohibition against requiring students to pay fees, deposits, or other charges for participation in educational activities;
- 3. Complaints regarding the requirements for the development and adoption of a school safety plan, and also may be used when addressing complaints alleging failure to comply with state or federal law in the following Office of Education programs: consolidated categorical aid programs, career technical education, child care and development programs, child nutrition programs, migrant education, and special education programs;
- 4. Complaints regarding the implementation of the Local Control Accountability Plan (LCAP). Such complaints may be filed anonymously if the complaint provides evidence or information leading to evidence to support an allegation of noncompliance.
- 5. Any complaint, by or on behalf of any student who is a foster youth, alleging district noncompliance with any legal requirement applicable to the student

regarding placement decisions, the responsibility of the district's education liaison to the student, the award of credit for coursework satisfactorily complete din another school or district, school transfer or the grant of an exemption from Board-imposed graduation requirements.

- 6. Any complaint, by or on behalf of any homeless student as defined in 42 USC 11434a, a former juvenile court school student or a child of a military family as defined in Education Code 49701 who transfers into the district after his/her second year of high school, alleging district noncompliance with any legal requirement applicable to the student regarding the award of credit for coursework satisfactorily completed in another school or district, school transfer or the grant of an exemption from Board-imposed graduation requirements.
- 7. Any complaint alleging district noncompliance with the requirements of Education Code 51228.1 and 51228.2 that prohibit the assignment of a student in grades 9-12 to a course without educational content for more than one week in any semester or to a course the student has previously satisfactorily completed without meeting specific conditions (Education Code 51228.3).
- 8. Any complaint alleging bullying in Office of Education programs and activities, regardless of whether the bullying is based on a person's actual or perceived characteristics of age, sex, sexual orientation, gender, gender identity, gender expression or genetic information, ethnic group identification, race or ethnicity, ancestry, nationality, national origin, religion, color, mental or physical disability, marital or parental status, or any other characteristic identified in Education Code 200 or 220, Government Code 11135, or Penal Code 422.55.
- 9. Any complaint alleging Office of Education noncompliance with the requirement to provide reasonable accommodation to a lactating student on school campus to express breast milk, breastfeed an infant child, or address other breastfeeding-related needs of the student (Education Code 222).
- 10. Any complaint alleging retaliation against a complainant or other participant in the complaint process or anyone who has acted to uncover or report a violation subject to this policy.
- 11. Any other complaint as specified in Office of Education policy.

According to state and federal codes and regulations, the programs and activites subject to the UCP are:

- Adult Education
- After School Education and Safety
- Agricultural Career Technical Education
- American Indian Education Centers and Early Childhood Education Program Assessments
- Bilingual Education
- California Peer Assistance and Review Programs for Teachers
- Career Technical and Technical Education; Career Technical; Technical Training (State)
- Career Technical Education (Federal)
- Child Care and Development
- Child Nutrition
- Compensatory Education
- Consolidated Categorical Aid
- Course Periods without Educational Content
- Economic Impact Aid
- Education Of Pupils In Foster Care, Pupils Who Are Homeless, Former Juvenile Court Pupils Now Enrolled In A School District, and Pupils Of Military Families
- Every Student Succeeds Act / No Child Left Behind (Titles I–VII)
- Local Control and Accountability Plans (LCAP)
- Migrant Education
- Physical Education Instructional Minutes
- Pupil Fees
- Reasonable Accommodations to a Lactating Pupil
- Regional Occupational Centers and Programs
- School Safety Plans
- Special Education
- State Preschool
- Tobacco-Use Prevention Education

This policy prohibits retaliation in any form for the filing of a complaint, the reporting of instances of discrimination, or for participation in complaint procedures. Such participation shall not in any way affect the status, grades, or work assignments of the complainant.

The Board and Superintendent recognize that a neutral mediator can often suggest a compromise that is agreeable to all parties in a dispute. In accordance with uniform complaint procedures, whenever all parties to a complaint agree to try resolving the problem through mediation, the

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Superintendent or designee shall initiate that process. However, mediation shall not be offered or used to resolve any complaint involving sexual assault or where there is a reasonable risk that a party to the mediation would feel compelled to participate. The Superintendent or designee shall ensure that the results are consistent with state and federal laws and regulations.

Complaints alleging discrimination, retaliation or bullying shall be investigated in a manner that protects the confidentiality of the parties and the facts. This includes keeping the identity of the complainant confidential except to the extent necessary to carry out the investigation or proceedings, as determined by the Superintendent or designee on a case-by-case basis.

When an allegation that is not subject to the Uniform Complaint Procedure (UCP) is included in a UCP complaint, the Office of Education shall refer the non-UCP allegation to the appropriate staff or agency and shall resolve the UCP-related allegation(s) through the UCP.

The Superintendent or designee shall maintain records of all UCP complaints and the investigations of those complaints. All such records shall be destroyed in accordance with applicable state law and Office of Education policy.

The Superintendent or designee shall ensure that employees designated to investigate complaints are knowledgeable about the laws and programs for which they are responsible. Such employees may have access to legal counsel as determined by the Superintendent or designee.

The office shall protect all complainants from retaliation. In investigating complaints, the confidentiality of the parties involved shall be protected as required by law. As appropriate for any complaint alleging retaliation or unlawful discrimination (such as discriminatory harassment, intimidation, or bullying), the Superintendent or designee shall keep confidential the identity of the complainant and/or the subject of the complaint, if he/she is different from the complainant, as long as the integrity of the complaint process is maintained.

This policy and related Administrative Guidelines are intended to comply with Title 5 of the California Code of Regulations, 4600 et seq., and are not intended to extend beyond such requirements.

Non-UCP Complaints

The following complaints shall not be subject to the UCP but shall be referred to the specified agency:

- 1. Any complaint alleging child abuse or neglect shall be referred to the County Department of Social Services, the County Protective Services Division, and the appropriate law enforcement agency.
- 2. Any complaint alleging employment discrimination shall be sent to the California Department of Fair Employment and Housing and the compliance officer shall notify the complainant by first class mail of the transfer.
- 3. Any complaint alleging fraud shall be referred to the California Department of Education.

In addition, the Williams Uniform Complaint Procedures, AR 1312.4, shall be used to investigate and resolve any complaint related to sufficiency of textbooks or instructional materials, emergency or urgent facilities conditions that pose a threat to the health or safety of students or staff, or teacher vacancies and misassignments.

Legal Reference:

EDUCATION CODE

200-262.4 Prohibition of Discrimination

32289 School Safety Plan, uniform complaint procedures

35186 Williams uniform complaint procedures

48853-48853.5 Education of Pupils in Foster Care and Pupils Who Are Homeless

48985 Languages other than English

49010-49013 Student fees

49060-49079 Student records

49490-49590 Child nutrition program

51210 Course of Study, Grades 1 to 6

51223-51229 Courses of Study, Grades 7 to 12

52060-52077 Local control and accountability plan, especially

52075 Local control and accountability plans

52300-52490 Career technical education

52800-52870 School-based coordinated programs

54400-54425 Compensatory education programs

56000-56865 Special education programs

59000-59300 Special Schools and Centers

64000-64001 Consolidated application process

GOVERNMENT CODE

11135 Nondiscrimination in programs or activities funded by state

12900-12996 Fair Employment and Housing Act

CODE OF REGULATIONS, TITLE 5

3080 Application of section

4600-4671 Uniform complaint procedures

4900-4965 Nondiscrimination in elementary and secondary education programs

PENAL CODE

422.55 Hate crime; definition

422.6 Interference with constitutional right or privilege

UNITED STATES CODE, TITLE 20

1221 Application of laws

1232g Family Education Rights and Privacy Act

1681-1688 Title IX of the Education Amendments of 1972

6301-6577 Title I Basic Programs

6601-6777 Title II Preparing and Recruiting High Quality Teachers and Principals

6801-6871 Title III, Language instruction for limited English proficient and immigrant students

7101-7184 Safe and Drug-Free Schools and Communities Act, including

7114 Local educational program, safety plans

7201-7283g Title V Promoting Informed Parental Choice and Innovative Programs

7301-7372 Title VI Rural and Low-Income School Programs

UNITED STATES CODE, TITLE 29

794 Section 504 of Rehabilitation Act of 1973

UNITED STATES CODE, TITLE 42

2000d-2000e-17 Title VI and Title VII Civil Rights Act of 1964, as amended

2000h-2-2000h-6 Title IX of the Civil Rights Act of 1964

6101-6107 Age Discrimination Act of 1975

CODE OF FEDERAL REGULATIONS, TITLE 28

35.107 Nondiscrimination on basis of disability; complaints

CODE OF FEDERAL REGULATIONS, TITLE 34

99.1-99.67 Family Educational Rights and Privacy

100.3 Prohibition of discrimination on basis of race, color or national origin

104.7 Designation of responsible employee for Section 504

106.8 Designation of responsible employee for Title IX

106.9 Notification of nondiscrimination on basis of sex

110.25 Notification of nondiscrimination on the basis of age

Policy **EDUCATION**

HUMBOLDT COUNTY OFFICE OF

Adopted: October 21, 1992 Revised: October 10, 2018 Eureka, California